



## Project Specific Conflict Of Interest

KEY PERSONNEL: \_\_\_\_\_

(Print or Type)

SIGNATURE OF KEY PERSONNEL: \_\_\_\_\_

PROJECT TITLE: \_\_\_\_\_

SPONSOR: \_\_\_\_\_ DATE: \_\_\_\_\_

The undersigned certifies, to the best of his/her knowledge and belief, that the following questions are answered correctly:

Does the undersigned or his/her immediate family, as defined by the University's Policy on Conflicts of Interest and Commitment in Research have:

- |   | YES | NO |
|---|-----|----|
| 1. A significant financial interest in the sponsor of the proposed research project in which he/she is involved (current or pending)              |     |    |
| 2. A significant financial interest in other entities whose financial interests would reasonably appear to be affected by the outcome of research |     |    |

Please answer each:

YES NO

- A. Any ownership interest in excess of 5% of the voting interest or in excess of \$10,000 of the fair market value, regardless of means by which acquired in a publicly traded company (excluding any interest arising solely by reason of investment in a business by a mutual, pension, or other institutional investment fund over which the investigator or his/her immediate family does not exercise control)?
- B. Any ownership interest in a privately held company?
- C. A position held by the investigator or his/her immediate family as employee, director, officer, partner, or any position of management?
- D. Income (e.g., consulting, salary to the investigator or his/her immediate family) of \$10,000 or more, received or promised within a 12-month period?
- E. Any loan to the investigator or his/her immediate family?
- F. Gifts with a value of more than \$100 within a 12-month period?

**If you have answered yes to one or more question listed above. A [financial disclosure form](http://research.drexel.edu/administration/Forms_Facts/docs/COI_Part2.pdf) located at [http://research.drexel.edu/administration/Forms\\_Facts/docs/COI\\_Part2.pdf](http://research.drexel.edu/administration/Forms_Facts/docs/COI_Part2.pdf) must be completed and returned to the Office of Research for further review.**

Entity With Which There Is Financial Interest:

Submission of this certification is mandatory with each research project for all investigators as defined by the University's Policy on Conflict of Interest and Commitment in Research.